



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Agent File No.: 10150-56us GH/ik

Applicant: ROLAND BASQUE

Serial No.: 10/722,131

Filed: November 26, 2003

Title: OPTICAL SEALING CLAMP AND A  
METHOD FOR SEALING AND CUTTING  
POLYMERIC SHEETS WITH A LASER

Examiner:

Group Art Unit: 1725

Confirmation No.: 4647

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
U.S.A.

Sir:

Submitted herewith on a PTO/SB/08A/B form is a listing of the documents known to the Applicant in order to comply with the Applicant's duty of disclosure pursuant to 37 C.F.R. § 1.56.

The submission of any document which is not a statutory bar is not intended as an admission that such document constitutes prior art against the claims of the present application. The Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

Commissioner for Patents

Statement of Relevancy

The listed document(s) is/are being submitted either in compliance with 37 C.F.R. §1.97(b), within three (3) months of the filing date of a national application or of the date of entry of the national stage in an international application; or pursuant to 37 C.F.R. §1.97(e)(1), within three (3) months from the date of an office action or of a search report issued in a foreign counterpart application citing each of the documents contained in the present statement; or pursuant to 37 C.F.R. §1.97 (e)(2), within three (3) months from the first knowledge of each submitted document by any individual designated in C.F.R. §1.56 (c), when each such document was not cited in a communication from a foreign patent office in a counterpart foreign application.

In the case of submissions under 37 C.F.R. §1.97(e)(1) and (2), the undersigned Attorney/Agent of Record hereby certifies that the enclosed references are hereby submitted within three months from (1) the issuance of the foreign action or search report, or (2) from said first knowledge, respectively.

Respectfully submitted,

ROLAND BASQUE

By:



April 20, 2005

(Date)

Guy J. Houle (Reg. No. 24,971)

Agent of Record

OGILVY RENAULT

1981 McGill College Avenue, Suite 1600

Montreal, Quebec, Canada H3A 2Y3

Tel.: (514)847-4321

Enc. - Form PTO/SB/08A/B  
copy of International Search Report  
Postcard

APR 21 2005

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

## **Complete if Known**

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/722,131        |
| Filing Date            | November 26, 2003 |
| First Named Inventor   | BASQUE, Roland    |
| Art Unit               | 1725              |
| Examiner Name          |                   |
| Attorney Docket Number | 10150-56US GH/ik  |

Sheet 1 of 1

## **U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                    |                       | US- 3,989,778                            | 11/02/1976                     | Osborne  |   |
|                    |                       | US- 4,924,062                            | 05/08/1980                     | Zurcher  |   |
|                    |                       | US- 4,945,203                            | 07/31/1990                     | Soodak et al                                       |   |
|                    |                       | US- 5,173,583                            | 12/22/1992                     | de Contencin et al                                 |   |
|                    |                       | US- 5,595,670                            | 01/21/1997                     | Mombo-Caristan                                     |   |
|                    |                       | US- 5,630,308                            | 05/20/1997                     | Guckenberger                                       |   |
|                    |                       | US- 5,659,479                            | 08/19/1997                     | Duley et al  |   |
|                    |                       | US- 5,701,725                            | 12/30/1997                     | Neri et al   |   |
|                    |                       | US- 6,103,050                            | 08/15/2000                     | Krueger  |   |
|                    |                       | US- 6,237,308                            | 05/29/2001                     | Quintin et al                                      |   |
|                    |                       | US- 6,387,209 B1                         | 05/14/2002                     | Nettesheim   |   |
|                    |                       | US- 6,670,574 B1                         | 12/30/2003                     | Bates et al  |   |
|                    |                       | US- 6,818,857 B1                         | 11/16/2004                     | Cho et al  |   |
|                    |                       | US- 2002/0008809 A1                      | 01/24/2002                     | Babuka et al                                       |   |
|                    |                       | US- 2002/0144984 A1                      | 10/10/2002                     | Mori et al   |   |
|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |

## **FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                    |                       | EP 0 472 850 A2   | 04.03.92                       | Fang et al   |   |                |
|                    |                       | EP 0 472 850 A3   | 04.03.92                       | Fang et al   |   |                |
|                    |                       | WO 98/16430   | 04.23.98                       | Kendall  |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.